

06-28-01

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## UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

## ADDRESS TO:

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket No. 97406

First Named Inventor John J. Williams, Jr.

Title: Method And Apparatus For an Adaptive  
Rate Control Mechanism Reactive to Flow  
Control Messages in a Packet Switching  
System

Express Mail No. EL759023601US

## APPLICATION ELEMENTS

1. ☒ Fee Transmittal Info. included herein
2. ☐ Applicant is entitled to and hereby claims Small Entity Status. See 37 CFR 1.27.
3. ☒ Specification [Total Pages 20 + Cover Sheet]
4. ☒ Formal Drawings [Total Sheets 6]
5. ☒ Combined Declaration and Power of Attorney [Total Pages 2]
  - a. ☒ Newly executed
  - b. ☐ Copy from prior application [Note Box 6 below]
    - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
6. ☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-ROM in duplicate (Program or Table)

9. ☒ NONPUBLICATION REQUEST:

- a. THIS APPLICATION IS NOT TO BE PUBLISHED UNDER 35 U.S.C. 122(b)
- b. CERTIFICATION: The invention disclosed in the application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

## ACCOMPANYING APPLICATION PARTS

10. ☒ Assignment Papers
11. ☐ 37 CFR 3.73(b) Statement
12. ☐ English Translation Document
13. ☐ Information Disclosure Statement (IDS)
  - ☐ PTO-1449 Form
  - ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Certified Copy of Priority Document(s)
17. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application Serial No. .  
 Prior application: Examiner Group / Art Unit:

## APPLICATION FEES

BASIC FEE			Large Entity	\$710
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	33 - 20 =	13	x \$18	\$234
Independent Claims	5 - 3 =	2	x \$80	\$160
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+ \$270	\$
			Total of above calculations =	\$1104
<input checked="" type="checkbox"/> Assignment fee if applicable			+ \$40.00	\$40
			TOTAL =	\$1144

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18. ☐ Please charge my Deposit Account No. 501430 in the amount of \$ .19a. ☒ Check No. 2101 in the amount of \$1104 is enclosed.19b. ☒ Check No. 2102 in the amount of \$40 is enclosed.

20. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 501430:

a. ☒ Fees required under 37 CFR 1.16.b. ☒ Fees required under 37 CFR 1.17.c. ☐ Fees required under 37 CFR 1.18.21. ☒ The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 501430 for any fee that may be due in connection with such a request for an extension of time.

## 22. CORRESPONDENCE ADDRESS

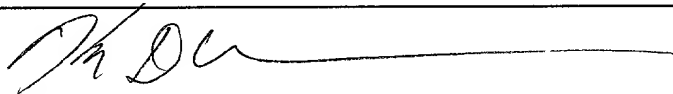
## CUSTOMER NUMBER: 26327

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Name

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Signature



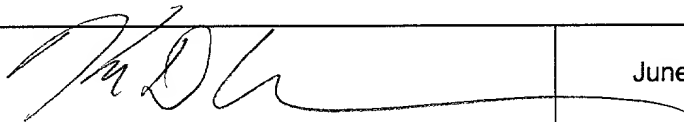
Date

June 27, 2001

## Certificate of Mailing Under 37 C.F.R. 1.10

I hereby certify that this Utility Patent Application and Fee Transmittal and all accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 C.F.R. 1.10 on the date indicated below with "Express Mail" mailing label number EL759023601US addressed to:  
 Box PATENT APPLICATION, ASST COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

Kirk D. Williams, Esq.  
 Reg. No. 42,229



June 27, 2001

Name of Person Signing

Signature

Date